

Attorney Docket No.:

210121.475C7

First Named Inventor:

Steven G. Reed

As a below-named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below under my name. I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF LUNG CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/667,170, as filed in the United States Patent and Trademark Office on September 20, 2000 ("this application") for which a patent is sought. I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or under 35 U.S.C. § 365(c) of any PCT international application(s) designating the United States of America, as listed below ("the parent applications") and insofar as the subject matter of each of the claims of this application is not disclosed in at least one of the below-listed United States or PCT International parent applications in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge my duty to disclose information of which I am aware which is material to the patentability of this application in accordance with 37 C.F.R § 1.56, including material information which became available between the filing date of any of the below-listed parent applications and the national or PCT international filing date of this (C-I-P) application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/640,878	8/18/00		210121.475C6
09/588,937	6/05/00		210121.475C5
09/538,037	3/29/00		210121.475C4
09/518,809	3/3/00		210121.475C3
09/476,235	12/30/99		210121.475C2
09/370,838	8/9/99		210121.475C1
09/285,323	4/2/99		210121.475

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Steven G. Reed

Date 16 May 2000

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State of Washington

Citizenship : United States of America

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Citizenship : United States of America P.O. Address : 4205 South Morgan Seattle, Washington 98118
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Date S Y O Residence : City of Seattle, County of King State of Washington
Citizenship : United States of America : 3844 – 35 th Avenue West Seattle, Washington 98199
Darin R. Benson
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Carol Yoseph Indirias
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State of Washington
Citizenship : United States of America
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Date 5/16/2001 County of Snohomish
Residence : City of Edinorius, Construction State of Washington
Citizenship: United States of America P.O. Address: 8904 – 192 nd Street Southwest Edmonds, WA 98026
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Date Sideman City of Bainbridge Island, County of Kitsap
State of Washington
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Paul A. Algate
Date S2101 Residence City of Issaquah, County of King State of Washington
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Jane Mannion

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EXPRESS MAIL LABEL NO. EL773187115US



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Steven G. Reed et al.

Application No.

09/667,170

Filed

September 20, 2000

For

COMPOSITIONS AND METHODS FOR THE THERAPY AND

DIAGNOSIS OF LUNG CANCER

Docket No.

210121.475C7

Box Missing Parts Commissioner for Patents Washington, DC 20231

ELECTION UNDER 37 C.F.R. §§ 3.71 AND 3.73 AND POWER OF ATTORNEY

The undersigned, being Assignee of the entire interest in the above-identified application by virtue of an Assignment filed concurrently herewith, hereby elects, under 37 C.F.R. § 3.71, to prosecute the application to the exclusion of the inventors.

Assignee hereby appoints Richard W. Seed, Reg. No. 16,557; George C. Rondeau, Jr., Reg. No. 28,893; David H. Deits, Reg. No. 28,066; William O. Ferron, Jr., Reg. No. 30,633; Richard G. Sharkey, Reg. No. 32,629; David V. Carlson, Reg. No. 31,153; Karl R. Hermanns, Reg. No. 33,507; Michael J. Donohue, Reg. No. 35,859; Jane E. R. Potter, Reg. No. 33,332; Robert Iannucci, Reg. No. 33,514; Lorraine Linford, Reg. No. 35,939; David W. Parker, Reg. No. 37,414; E. Russell Tarleton, Reg. No. 31,800; Ellen M. Bierman, Reg. No. 38,079; Brian G. Bodine, Reg. No. 40,520; Robert M. Ward, Reg. No. 26,517; Frank Abramonte, Reg. No. 38,066; Kevin S. Costanza, Reg. No. 37,801; Thomas E. Loop, Reg. No. 42,810; Stephen J. Rosenman, Reg. No. 43,058; Brian L. Johnson, Reg. No. 40,033; Susan D. Betcher, Reg. No. 43,498; William T. Christiansen, Reg. No. 44,614; Eric J. Gash, Reg. No. 46,274; Jeffrey C. Pepe, Reg. No. 46,985; Charles J. Rupnick, Reg. No. 43,068; Timothy L. Boller, Reg. No. 47,435; and James M. Verna, Reg. No. 33,287; comprising the firm of Seed Intellectual Property Law Group PLLC, 701 Fifth Avenue, Suite 6300, Seattle, Washington 98104-7092, and Cynthia L. Shumate, Reg. No. 38,303; Kathleen Nora

McKereghan, Reg. No. 41,985; Jeff E. Hundley, Reg. No. 42,676; and Gary M. Myles, Reg. No. 46,209, as its attorneys to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. Please direct all telephone calls to Jane E. R. Potter at (206) 622-4900 and telecopies to (206) 682-6031.

Please direct all communications to:

Jane E. R. Potter, Esq.
Seed Intellectual Property Law Group PLLC
701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092

Pursuant to 37 C.F.R. § 3.73, the undersigned duly authorized designee of Assignee certifies that the evidentiary documents have been reviewed, specifically the Assignment to Corixa Corporation filed concurrently herewith for recording, a copy of which is attached hereto, and certifies that to the best of my knowledge and belief, title remains in the name of the Assignee.

Corixa Corporation ASSIGNEE

DATE

Kathleen Nora McKereghan

Vice President, General Counsel and Secretary

Enclosure:

Copy of Assignment

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ASSIGNMENT OF UTILITY PATENT APPLICATION

WHEREAS, we,

we,	
Post Office Address Washington 98005	,
2843 – 122 nd Place Northeast, Bellevue, Washington 98126	;
9223 – 36 th Avenue Southwest, Seattle, Washington 98118	;
4205 South Morgan, Seattle, Washington 98199	;
3844 – 35 Avenue West, Seattle, Washington 98103	<u> </u> ;
1541 Northwest 52 nd Street, Seattle, Washington 98107	\;
100 nd Street Southwest, Edmonds, WA 98020	\; \;
11414 Pinyon Avenue Northeast, Bainbridge Island, WA 96110	\\;
580 Kalmia Place Northwest, Issaquah, WA 98027	; and
10800 26th Avenue Southwest, Seattle, WA 96140	,
	et to

(hereinafter referred to as ASSIGNORS), having post office addresses as listed above next to our names, are the joint inventors of an invention entitled

COMPOSITIONS AND METHODS FOR THE THERAPY AND DIAGNOSIS OF LUNG CANCER

which is described and claimed in the specification and claims of continuation-in-part (C-I-P) Patent Application No. 09/667,170, as filed in the United States Patent and Trademark Office on September 20, 2000 ("this application") for which a patent is sought. This application derives benefit from the following application(s):

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number	Attorney Docket Number
09/640,878	8/18/00		210121.475C6
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09/476,235	12/30/99		210121.475C2
09/370,838	8/9/99		210121.475C1
09/285,323	4/2/99		210121.475

WHEREAS, Corixa Corporation (hereinafter referred to as ASSIGNEE), a corporation of the State of Delaware having a place of business at 1124 Columbia Street, Suite 200, Seattle, Washington 98104, is desirous of acquiring the entire right, title and interest in and to said invention, this application, and in and to any Letters Patent that may be granted therefor in the United States and in any and all foreign countries;

NOW, THEREFORE, in exchange for good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS hereby sell, assign and transfer unto said ASSIGNEE, the entire right, title and interest in and to said invention, this application and any and all Letters Patent which may be granted therefor in the United States of America and its territorial possessions and in any and all foreign countries, and in any and all divisions, reissues and continuations thereof, including the right to file foreign applications directly in the name of ASSIGNEE and to claim priority rights deriving from this application and said parent application(s) to which said foreign applications are entitled by virtue of international convention, treaty or otherwise; said invention, this application and all Letters Patent on said invention to be held and enjoyed by ASSIGNEE and its successors and assigns for their use and benefit and of their successors and assigns as fully and entirely as the same would have been

held and enjoyed by ASSIGNORS had this assignment, transfer and sale not been made.

ASSIGNORS hereby authorize and request the Director of the Patent and Trademark Office to issue said United States Patent to ASSIGNEE, of the entire right, title and interest in and to the same, for its sole use and benefit, and for the use and behalf of its legal representatives, to the full end of the term for which said Patent may be granted, as fully and entirely as the same would have been held by us had this assignment and sale not been made.

ASSIGNORS agree to execute all instruments and documents required for the making and prosecution of applications for United States and foreign Patent on said invention, for litigation regarding said Patent, or for the purpose of protecting title to said invention or Patent therefor.

14 0	May 2001	Mr. Reel
Date		Signature of Steven G. Reed
State of	WASHINGTON)
County of	KINS) SS.)

I certify that I know or have satisfactory evidence that Steven G. Reed is the person who appeared before me, and said person acknowledged that he signed this instrument and acknowledged it to be his free and voluntary act for the uses and purposes mentioned in the instrument.

Dated	My	us 16	200) [
Signature of Notary Public _	Hen	dre		Bug	tan
Printed Name _		DEIRI	JREI	. Dry	Fail
My appointment	expires		9/1/	02	

5-16-2001	Medial Tools
Date	Signature of Michael J. Lodes
State of KING	0 N) ss.
County of)
person who appeared before	I know or have satisfactory evidence that Michael J. Lodes is the me, and said person acknowledged that he signed this instrument his free and voluntary act for the uses and purposes mentioned in the
	Dated
	Signature of Notary Public Mary Land
	Printed Name UEIRURE I. BRYAII
	My appointment expires $9/(62)$
5/16/01	Landoll
Date	Signature of Raodoh Mohamath
State of WASHING	[
County of KING) ss.
person who appeared before	I know or have satisfactory evidence that Raodoh Mohamath is the me, and said person acknowledged that she signed this instrument her free and voluntary act for the uses and purposes mentioned in the
	Dated / May 16, 2001
	Signature of Notary Public New die 1 Din 10
	Printed Name UEINURE I. DRYAW
	My appointment expires $9/(102)$

	5-1	7-01	Miralle Derid
Date		7	Signature of Heather Secrist
State of	WASHING	TON	
County of _	KINS)	SS.
	appeared before	re me, and said	eve satisfactory evidence that Heather Secrist is the person acknowledged that she signed this instrument auntary act for the uses and purposes mentioned in the
instrument.	leaged it to be	ner nee and vor	cultary act for the uses and purposes mentioned in the
		Dated	May 17, 2001
		Signature of Notary Public Printed Name	UER DEFI BRUMN
		My appointme	ent expires $\frac{9/1/02}{}$
	5/16/01		Vair R. Ban
Date		TAN	Signature of Darin R. Benson
State of County of	WASHING KING))	SS.
-	appeared befo	re me, and said	ve satisfactory evidence that Darin R. Benson is the person acknowledged that he signed this instrument untary act for the uses and purposes mentioned in the
		Dated	May 16, 2001
		Signature of Notary Public	
		Printed Name	e DEIRDREL BRYAN
		My appointm	nent expires 9/1/02

06.	01.01	Carol Indirias
Date		Signature of Carol Yoseph Indirias
State of	WASHING	
County of _	KINS) SS.)
instrument a	who appeared	
		Dated
		Signature of Notary Public Welle Jugar
		Printed Name DERORE BRYAN
		My appointment expires $9/(02)$
		My appointment expires
Date	5/16/200	Signature of Robert A. Henderson
State of	WASHINGT	O N
County of	KING) ss.
	appeared before	I know or have satisfactory evidence that Robert A. Henderson is the me, and said person acknowledged that he signed this instrument his free and voluntary act for the uses and purposes mentioned in the
msu amone.		Dated May 16 2001
		Signature of Notary Public DERDRE BRAND
		Printed Name
		My appointment expires $\frac{9}{\sqrt{2}}$

5/22/01	Sa Paris
Date (Signature of Steven P. Fling
State of KING	10N)) ss.
County of	
person who appeared before	at I know or have satisfactory evidence that Steven P. Fling is the ore me, and said person acknowledged that he signed this instrument his free and voluntary act for the uses and purposes mentioned in the Dated
	Signature of
	Notary Public Alandel Organ
	Printed Name
	My appointment expires $9/1/02$
OS/21/01	Simple of Parks
Date / WASHINE	Signature of Papal A. Algate
State of KIN6 County of) ss.)
person who appeared before	at I know or have satisfactory evidence that Paul A. Algate is the ore me, and said person acknowledged that he signed this instrument his free and voluntary act for the uses and purposes mentioned in the
	Dated
	Signature of Notary Public Mende De
	Printed Name UEINUNG I. DHYAN
	My appointment expires $9/(0z)$

	118/01		Mark	w Elle	M	
Date	1		Signature of	f Mark W.El	liott	
State of	WASHINGTO		aa.			
County of	KING)	SS.			
	I certify that in ppeared before dged it to be him	me, and said	person acknown	owledged th	at he signed t d purposes me	his instrument
State of	Mashing TO	N)	Signature o	Mau f Jane Mann	un ion	
who appeared	I certify that I before me, as it to be her f	nd said persor	acknowled	ged that she	signed this i	nstrument and
		Signature of Notary Public	: Hur	The C	l Br	jar
		Printed Name	·	DEIRD	RELEV	
		My appointm	ent expires _	9	1/02	

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